United States Court of Appeals for the Second Circuit Thurgood Marshall U.S. Courthouse 40 Foley Square New York, NY 10007

ROBERT A. KATZMANN CHIEF JUDGE

CATHERINE O'HAGAN WOLFE

CLERK OF COURT

Date: January 30, 2014 DC Docket #: 07-cv-5471

Docket #: 12-5082cv DC Court: SDNY (NEW YORK CITY)

Short Title: Graves v. Deutsche Bank Securities, Inc. DC Judge: Jones

DC Judge: Fox

NOTICE OF DEFECTIVE FILING

On January 30, 2014 the FRAP 28(j) Letter was submitted in the above referenced case.

The document does not comply with the FRAP or the Court's Local Rules for the following	ıg
reason(s):	
Failure to submit acknowledgment and notice of appearance (Local Rule 12.3)	
Failure to file the Record on Appeal (FRAP 10, FRAP 11)	
Missing motion information statement (T-1080 - Local Rule 27.1)	
Missing supporting papers for motion (e.g., affidavit/affirmation/declaration) (FR.	<i>AP 27)</i>
Insufficient number of copies (Local Rules: 21.1, 27.1, 30.1, 31.1)	,
Improper proof of service (FRAP 25)	
Missing proof of service	
Served to an incorrect address	
Incomplete service (Anders v. California 386 U.S. 738 (1967))	
Failure to submit document in digital format (Local Rule 25.1)	
Not Text-Searchable (Local Rule 25.1, Interim Local Rules 25.2), click here	
for instructions on how to make PDFs text searchable	
Failure to file appendix on CD-ROM (Local Rule 25.1, Interim Local Rules 25.2)	
Failure to file special appendix (Local Rule 32.1)	
Defective cover (FRAP 32)	
Incorrect caption (FRAP 32)	
Wrong color cover (FRAP 32)	
Docket number font too small (Local Rule 32.1)	
Incorrect pagination, click here for instructions on how to paginate PDFs	
(Local Rule 32.1)	
Incorrect font (FRAP 32)	
Oversized filing (FRAP 27 (motion), FRAP 32 (brief))	
Missing Amicus Curiae filing or motion (Local Rule 29.1)	
Untimely filing	
X Incorrect Filing Event	
X Other: You may submit a letter using the event "Letter Received".	

Please cure the defect(s) and resubmit the document, with the required copies if necessary, no later than February 3, 2014. The resubmitted documents, if compliant with FRAP and the Local Rules, will be deemed timely filed.

Failure to cure the defect(s) by the date set forth above will result in the document being stricken. An appellant's failure to cure a defective filing may result in the dismissal of the appeal.

Inquiries regarding this case may be directed to 212-857-8523.